# Predicative presentation of stably locally compact locales

Tatsuji Kawai

Kochi University

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Every stably (**locally**) compact locale is a dual frame adjoint retract of the ideals of a (**0-bounded**) distributive lattice.

The latter seems to capture the duality of stably (locally) compact locales better.

## **Preliminary**

#### **Domain theory**

#### Definition

- ► A subset U of a poset (P, ≤) is directed if it is inhabited and any two elements of U have an upper bound in U.
- A dcpo is a poset (D, ≤) in which every directed subset U ⊆ D has a least upper bound, denoted by

$$\bigvee^{\uparrow} U :=$$
 the directed join of  $U$ .

For elements x, y of a dcpo D, we say that y is way-below x, denoted y ≪ x, if for every directed subset U ⊆ D, we have

$$x \leq \bigvee^{\uparrow} U \rightarrow \exists z \in U (y \leq z).$$

A continuous domain is a dcpo *D* in which every element is a directed join of elements way-below it, i.e., for each *x* ∈ *D*, the set ↓ *x* <sup>def</sup> { *y* ∈ *D* | *y* ≪ *x*} is directed and *x* = √<sup>↑</sup> ↓ *x*.

#### Definition

A preframe is a dcpo (P, ≤) with a meet semilattice structure (P, 1, ∧) where finite meets distribute over directed joins:

$$x \wedge \bigvee^{\uparrow} U = \bigvee_{y \in U}^{\uparrow} (x \wedge y).$$

- A frame is a complete lattice (X, 1, ∧, ∨) where finite meets distribute over all joins: x ∧ ∨ U = ∨<sub>y∈U</sub>(x ∧ y).
- A frame is locally compact if it is a continuous domain.
- A locally compact frame is stably locally compact if

$$x \ll y \& x \ll z \to x \ll y \land z.$$

#### Definition

- Let P and Q be preframes. A function f: P → Q is a preframe homomorphism if f preserves finite meets and directed joins (i.e., Scott continuous meet semilattice homomorphism).
- Let X and Y be frames. A function f: X → Y is a frame homomorphism if f preserves finite meets and joins.

Let X be a frame.

Definition

A Scott open filter on X is a filter  $\alpha \subseteq X$  such that

$$\bigvee^{\uparrow} U \in \alpha \ \rightarrow \ \exists y \in U \, (y \in \alpha)$$

**SOF**(*X*): the collection of Scott open filters on *X*.

#### Lemma

There exists a bijective correspondence between Scott open filters on *X* and preframe homomorphisms from *X* to Pow(1).

• We identify  $\mathbf{SOF}(X)$  with  $\operatorname{PreFrm}(X, \operatorname{Pow}(1))$ .

#### Lemma

- **1.** SOF(X) forms a preframe.
- 2. Every (pre-)frame homomorphism f: X → Y between frames X and Y induces a preframe homomorphism
  f<sup>d</sup>: SOF(Y) → SOF(X) h: Y → Pow(1) ↦ h ∘ f.

#### Proposition

If X is stably locally compact, then SOF(X) has binary joins

$$\alpha \lor \beta \stackrel{\text{def}}{=} \uparrow \{a \land b \mid a \in \alpha, b \in \beta\}$$
$$= \{x \in X \mid \exists a \in \alpha \exists b \in \beta \ (a \land b \le x)\}$$

which distribute over finite meets (i.e., it is almost a frame).

#### Definition

A frame homomorphism  $f: X \to Y$  between stably locally compact frames X and Y is said to be **dual** if  $f^d: \mathbf{SOF}(Y) \to \mathbf{SOF}(X)$ preserves binary joins.

## SLCF: Category of stably locally compact frames and frame homomorphisms.

SLCF<sub>D</sub>: Category of stably locally compact frames and dual frame homomorphisms.

## **Splitting of idempotents**

#### Splitting of idempotents

#### Definition

Let  $\mathbb{C}$  be a category.

- An **idempotent** is a morphism  $f: A \to A$  such that  $f \circ f = f$ .
- An idempotent  $f: A \rightarrow A$  splits if there exist morphisms  $r: A \to B$  and  $s: B \to A$  such that  $s \circ r = f$  and  $r \circ s = id_B$ .

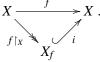


#### Proposition

In SLCF and SLCF<sub>D</sub>, every idempotent splits.

#### Proof.

If  $f: X \to X$  is an idempotent in SLCF (for example), f factors through  $X_f = f[X]$  as  $X \xrightarrow{f} X$ .



#### Definition

Let  $\mathbb C$  be a category. The Karoubi envelop (or splitting of idempotents) of  $\mathbb C$  is a category  $Karoubi(\mathbb C)$  where

- ▶ objects are idempotents in C,
- morphisms h: (f: A → A) → (g: B → B) are morphisms h: A → B in C such that g ∘ h = h = h ∘ f:



#### Proposition

Let  $\mathbb{C}$  be a category in which every idempotent splits (e.g. SLCF, SLCF<sub>D</sub>). If  $\mathbb{D}$  is a full subcategory of  $\mathbb{C}$  such that every object in  $\mathbb{C}$  is a retract of an object of  $\mathbb{D}$ ,  $\mathbb{C}$  is equivalent to **Karoubi**( $\mathbb{D}$ ).

Find a suitable  $\mathbb{D}$  for SLCF and SLCF<sub>D</sub>.

#### Definition

- A 0-bounded distributive lattice (0-bounded distributive lattice) is a distributive lattice with a least element 0.
- An ideal of a 0-bounded distributive lattice (S, 0, ∨, ∧) is a subset I ⊆ S which is downset and closed under finite joints.
- The ideal completion Idl(S) of a 0-bounded distributive lattice S is the collection of ideals of S.

#### Lemma

For any 0-bounded distributive lattice  $(S, 0, \lor, \land)$ , the ideal completion Idl(S) is a stably locally compact frame.

#### Proof.

The frame structure of Idl(S) is given by

$$\begin{array}{ll} 0 \stackrel{\mathsf{def}}{=} \emptyset, & I \lor J \stackrel{\mathsf{def}}{=} \downarrow \{ a \lor b \mid a \in I \& b \in J \} \,, \\ \bigvee_{k \in I}^{\uparrow} I_k \stackrel{\mathsf{def}}{=} \bigcup_{k \in K} I_k, & I \land J \stackrel{\mathsf{def}}{=} \{ a \land b \mid a \in I, b \in J \} \,. \end{array}$$

#### Theorem

Every stably locally compact frame is a dual frame retract of the ideal completion of a 0-bounded distributive lattice.

#### Proof.

Let *X* be a stably locally compact frame.

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Let X be a stably locally compact frame.

#### First try (AVM 2023)

Consider *X* as a distributive lattice as in Johnstone (1982), and define  $f: X \to Idl(X)$  by  $f(x) = \downarrow x$ .

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#### Second try (Verona 2023)

Consider  $SOF(X)^{op}$ , which is almost a frame (lacking the top). Let

$$S = (\mathbf{SOF}(X)^{\mathsf{op}}, 1, \wedge, \vee).$$

There exists a frame homomorphism  $f: X \to Idl(S)$  defined by  $f(x) \stackrel{\text{def}}{=} \downarrow \{\uparrow a \mid a \ll x\}$ .

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There exists a frame homomorphism  $f: X \to Idl(S)$  defined by  $f(x) \stackrel{\text{def}}{=} \downarrow \{\uparrow a \mid a \ll x\}$ . X Its retract is not a frame homomorphism.

#### Proof continued.

#### Third try (CCC 2023)

Consider  $S_X = (\mathsf{Fin}^+(\downarrow 1), \leq)$  where

$$A \leq B \iff \bigwedge A \leq \bigwedge B \&$$
$$\forall C (B \ll_U C \to \exists D (A \ll_U D \& \bigwedge D \leq \bigwedge C))$$

where  $A \ll_U B \iff \forall b \in B \exists a \in A \ (a \ll b)$ . Define a 0-bounded distributive lattice  $S_X = (S_X, 0, \lor, \land)$  by

$$0 \stackrel{\mathsf{def}}{=} \{0\} \,, \ A \lor B \stackrel{\mathsf{def}}{=} \{a \lor b \mid a \in A, b \in B\} \,, \ A \land B \stackrel{\mathsf{def}}{=} A \cup B.$$

Lastly, define  $f: X \to Idl(S_X)$  by

$$f(x) \stackrel{\mathsf{def}}{=} \{A \in S_X \mid \exists B (A \ll_U B \& \bigwedge B \leq x)\}.$$

#### **Proof continued.**

#### Third try (CCC 2023)

Consider  $S_X = (\mathsf{Fin}^+(\downarrow 1), \leq)$  where

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where  $A \ll_U B \iff \forall b \in B \exists a \in A \ (a \ll b)$ . Define a 0-bounded distributive lattice  $S_X = (S_X, 0, \lor, \land)$  by

$$0 \stackrel{\mathsf{def}}{=} \{0\}, A \lor B \stackrel{\mathsf{def}}{=} \{a \lor b \mid a \in A, b \in B\}, A \land B \stackrel{\mathsf{def}}{=} A \cup B.$$

Lastly, define  $f: X \to Idl(S_X)$  by

$$f(x) \stackrel{\mathsf{def}}{=} \{A \in S_X \mid \exists B (A \ll_U B \& \bigwedge B \leq x)\}. \checkmark \mathsf{It works!} \quad \Box$$

#### Definition (Subcategories of stably locally compact frames)

- 0-DL<sub>F</sub>: A full subcategory of SLCF consisting of ideal completions of 0-bounded distributive lattices.
- 0-DL<sub>D</sub>: A full subcategory of SLCF<sub>D</sub> consisting of ideal completions of 0-bounded distributive lattices.

#### Theorem

- **1.** SLCF is equivalent to  $Karoubi(0-DL_F)$ .
- **2.** SLCF<sub>D</sub> is equivalent to  $Karoubi(0-DL_D)$ .

## Strong quasi-proximity lattices

First, We look into SLCF  $\cong$  Karoubi(0-DL<sub>F</sub>).

#### Aim

Give a predicative characterisation of 0-DL<sub>F</sub>.

 Then, we obtain a predicative representation of SLCF by the construction Karoubi(0-DL<sub>F</sub>).

#### Definition

Let  $(S, 0, \lor, \land)$  and  $(S', 0', \lor', \land')$  be 0-bounded distributive lattices. A **proximity relation** from *S* to *S'* is a relation  $r \subseteq S \times S'$  such that

**1.** 
$$r^{-}b \stackrel{\text{def}}{=} \{a \in S \mid a \ r \ b\}$$
 is an ideal of *S*,

**2.** 
$$ra \stackrel{\text{def}}{=} \{b \in S' \mid a \ r \ b\}$$
 is a filter of  $S'$ ,

**3.** 
$$a \ r \ 0' \rightarrow a = 0$$
,  
**4.**  $a \ r \ (b \lor c) \rightarrow \exists b' \ r \ b \exists c' \ r \ c \ (a \le b' \lor c')$ 

#### **Proximity relations**

#### Proposition

Let  $(S, 0, \lor, \land)$  and  $(S', 0', \lor', \land')$  be 0-bounded distributive lattices.

- ► There exists a bijective correspondence between frame homomorphisms f: Idl(S') → Idl(S) and proximity relations r: S → S'.
- ► The identity function on Idl(S) corresponds to the underlying order ≤ on S.
- The composition of frame homomorphisms contravariantly corresponds to the relational composition of proximity relations.

0-DL<sub>PX</sub> : Category of 0-b. dist. lattices and **proximity relations**. Corollary

- ► 0-DL<sub>PX</sub> is dually equivalent to 0-DL<sub>F</sub>.
- ► Karoubi(0-DL<sub>PX</sub>) is dually equivalent to Karoubi(0-DL<sub>F</sub>).
- ► Karoubi(0-DL<sub>PX</sub>) is equivalent to SLCF<sup>op</sup>.

An explicit description of Karoubi(0-DL<sub>PX</sub>).

#### Definition

A quasi-proximity lattice is a structure  $(S, 0, \lor, \land, \prec)$  where  $(S, 0, \lor, \land)$  is a 0-bounded distributive lattice and  $\prec$  is a relation on *S* satisfying

1. 
$$\prec \circ \prec = \prec$$
,  
2.  $\downarrow_{\prec} a \stackrel{\text{def}}{=} \{ b \in S \mid b \prec a \}$  is an ideal of *S*,  
3.  $\uparrow^{\succ} a \stackrel{\text{def}}{=} \{ b \in S \mid b \succ a \}$  is a filter of *S*,  
4.  $a \prec 0 \rightarrow a = 0$ ,  
5.  $a \prec (b \lor c) \rightarrow \exists b' \prec b \exists c' \prec c \ (a \leq (b' \lor c')).$ 

#### Definition

Let  $(S, 0, \lor, \land, \prec)$  and  $(S', 0', \lor', \land', \prec')$  be quasi-proximity lattices. A **proximity relation** from *S* to *S'* is a relation  $r \subseteq S \times S'$  such that

$$1. \prec' \circ r = r = r \circ \prec,$$

- **2.**  $r^-b$  is an ideal of *S*,
- **3.** ra is a filter of S',

**4.** 
$$a \ r \ 0' \ \to \ a = 0$$
,

**5.** 
$$a r (b \lor' c) \rightarrow \exists b' r b \exists c' r c (a \le b' \lor c').$$

qPxL : Category of quasi-proximity lattices and proximity relations.

#### Theorem (Predicative presentation of SLCF)

 $\mathsf{qPxL} := \mathbf{Karoubi}(\mathsf{0}\text{-}\mathsf{DL}_\mathsf{PX}) \cong \mathbf{Karoubi}(\mathsf{0}\text{-}\mathsf{DL}_\mathsf{F})^{\mathsf{op}} \cong \mathsf{SLCF}^{\mathsf{op}}.$ 

Using representation  $SLCF_D \cong Karoubi(0-DL_D)$ , we refine qPxL to obtain a stronger structure.

#### Definition

Let  $(S, 0, \lor, \land)$  and  $(S', 0', \lor', \land')$  be 0-bounded distributive lattices. A **dual proximity relation** from *S* to *S'* is a proximity relation  $r \subseteq S \times S'$  which satisfies

$$(a \wedge b) \ r \ c \ \rightarrow \ \exists a', b' \in S' \ \left(a \ r \ a' \ \& \ b \ r \ b' \ \& \ (a' \wedge b') \leq' c\right).$$

#### Proposition

Let  $(S, 0, \lor, \land)$  and  $(S', 0', \lor', \land')$  be 0-bounded distributive lattices. Then, the bijection between frame homomorphisms  $f: \operatorname{Idl}(S') \to \operatorname{Idl}(S)$  and proximity relations  $r: S \to S'$  restricts to dual frame homomorphisms and dual proximity relations.

#### Strong quasi-proximity lattices

0-DL<sub>DPX</sub>: Category of 0-bounded distributive lattices and dual proximity relations.

#### Corollary

- 0-DL<sub>DPX</sub> is dually equivalent to 0-DL<sub>D</sub>.
- ► Karoubi(0-DL<sub>DPX</sub>) is dually equivalent to Karoubi(0-DL<sub>D</sub>).
- ► Karoubi(0-DL<sub>DPX</sub>) is equivalent to SLCF<sub>D</sub><sup>op</sup>.

#### Definition (Objects of $Karoubi(\text{0-DL}_{\text{DPX}}))$

A strong quasi-proximity lattice is a quasi-proximity lattice  $(S,0,\vee,\wedge,\prec)$  which satisfies

$$(a \wedge b) \prec c \rightarrow \exists a' \succ a \exists b' \succ b ((a' \wedge b') \leq c).$$

SqPxL: Full subcategory of qPxL consisting of strong quasi-proximity lattices.

#### Theorem

SqPxL is equivalent to SLCF<sup>op</sup>.

## Logical characterisation

#### **Finitary formal topologies**

A finitary formal topology is a structure  $(S, \land, \lhd)$ , where  $(S, \land)$  is a semilattice and  $\lhd \subseteq S \times Fin(S)$  is a relation satisfying

$$\frac{a \in A}{a \triangleleft A} \qquad \frac{a \triangleleft A \quad A \triangleleft B}{a \triangleleft B} \quad \frac{a \triangleleft A}{a \land b \triangleleft A} \quad \frac{a \triangleleft A \quad a \triangleleft B}{a \triangleleft A \land B}$$
$$A \triangleleft B \iff \forall a \in A (a \triangleleft B),$$
$$A \land B \stackrel{\mathsf{def}}{=} \{a \land b \mid a \in A, b \in B\}.$$

#### Theorem (Negri (1996). Stone representation)

A finitary formal topology  $(S, \land, \lhd)$  determines a 0-bounded distributive lattice  $L(S, \land, \lhd) = (Fin(S)/_{\sim}, 0, \lor, \land)$  where

$$\begin{aligned} A \sim B \ \stackrel{\text{def}}{=} A \lhd B \& B \lhd A, \\ 0 \ \stackrel{\text{def}}{=} \emptyset, \qquad A \lor B \ \stackrel{\text{def}}{=} A \cup B, \qquad A \land B \ \stackrel{\text{def}}{=} A \land B. \end{aligned}$$

Conversely, any 0-bounded distributive lattice  $(S, 0, \lor, \land)$  can be represented in this way by a finitary formal topology on  $(S, \land)$ :

$$a \triangleleft_{\lor} A \stackrel{\mathsf{def}}{=} a \leq \bigvee A.$$

A stably continuous cover is a structure  $(S, \land, \lhd, \prec)$  where  $(S, \land, \lhd)$  is a finitary formal topology and  $\prec$  is a relation on *S* s.t. 1.  $\prec \circ \prec = \prec$ , 2.  $\downarrow_{\prec} a$  is downward closed, 3.  $\uparrow^{\succ} a$  is a filter of *S*, 4.  $a \land b \prec c \rightarrow \exists a' \succ a \exists b' \succ b ((a' \land b') \leq c),$ 5.  $\exists b \in S (a \prec b \lhd A) \leftrightarrow \exists B \in \operatorname{Fin}(S) (a \lhd B \prec_L A),$ where  $A \prec_L B \iff \forall a \in A \exists b \in B (a \prec b).$ 

#### Theorem (Stone representation (continuous version))

A stably continuous cover  $S = (S, \land, \lhd, \prec)$  determines a strong quasi-proximity lattice  $SqPL(S) = (L(S, \land, \lhd), \ll)$  where

$$A \ll B \stackrel{\mathsf{def}}{\iff} \exists C \in \mathsf{Fin}(S) \left( A \lhd C \prec_L B 
ight).$$

Conversely, any strong quasi-proximity lattice  $(S, 0, \lor, \land, \prec)$  can be represented in this way by  $(S, \land, \lhd_\lor, \prec)$ .

## **Patch topology**

Let  $(S, \wedge)$  be a semilattice. Given any relation  $\triangleleft_0 \subseteq S \times Fin(S)$  (called **axioms**), we can inductively generate a finitary formal topology  $(S, \wedge, \triangleleft)$  by the following rules:

$a \in A$	$a \lhd A$	$a \triangleleft_0 A  A \land b \triangleleft B$
$a \lhd A$	$\overline{a \wedge b \triangleleft A}$	$a \wedge b \lhd B$

In this case,  $(S, \land, \lhd)$  is said to be **generated** by  $\lhd_0$ .

#### Patch topology

Let  $(S, 0, \lor, \land, \prec)$  be a strong quasi-proximity lattice. Let  $P(S) \stackrel{\text{def}}{=} S \times S$ , which is ordered by

$$(a,b) \leq (c,d) \iff c \leq a \And b \leq d,$$

and is equipped with a semilattice structure

$$(a,b) \wedge (c,d) \iff (a \lor c, b \land d).$$

Let  $\prec$  be an idempotent relation on P(S) defined by

$$(a,b) \prec (c,d) \iff c \prec a \And b \prec d.$$

Let  $\triangleleft_{PT}$  be a finitary formal topology on  $(P(S), \wedge)$  generated by  $\triangleleft_0$ :

$$\begin{array}{l} (a \wedge b, c) \triangleleft_0 \{(a, c), (b, c)\} \\ (a, b \lor c) \triangleleft_0 \{(a, b), (a, c)\} \\ (a, b) \triangleleft_0 \emptyset \qquad (b \prec a) \\ (c, d) \triangleleft_0 \{(c, b), (a, d)\} \qquad (a \prec b) \end{array}$$

 $\text{Patch}(S) = (\text{P}(S), \land, \lhd_{\text{PT}}, \prec)$  is a strong stably continuous cover.

#### Definition

Let  $S = (S, \land, \lhd, \prec)$  be a stably continuous cover. A model of S is a filter  $\alpha \subseteq S$  of  $(S, \land)$  such that

**1.** 
$$a \in \alpha \leftrightarrow \exists b \prec a (b \in \alpha),$$

**2.** 
$$a \triangleleft B \& a \in \alpha \rightarrow \exists b \in B (b \in \alpha).$$

In other words, a model of  ${\mathcal S}$  is a rounded prime filter.

#### Example

Let  $(S, 0, \lor, \land, \prec)$  be a strong quasi-proximity lattice. A model of Patch(S) can be identified with a pair (L, U) of a rounded prime ideal *L* and a rounded prime filter *U* on *S* such that

▶  $L \cap U = \emptyset$  (disjoint),

•  $a \prec b \rightarrow a \in L \text{ or } b \in U$  (located).

#### Example (Dedekind cuts)

Let  $S = (\mathbb{Q}^{\geq 0}, 0, \max, \min, <)$  be the upper half line. A model of Patch(S) can be identified with a Dedekind cut.

#### Definition

A strong quasi-proximity lattice  $(S, 0, \lor, \land, \prec)$  is **regular** if

 $\forall x, a, b \in S \left[ a \prec b \rightarrow \exists c \in S \left( c \land a = 0 \& x \prec c \lor b \right) \right].$ 

#### Lemma

For any strong quasi-proximity lattice S, Patch(S) determines a regular strong quasi-proximity lattice SqPL(Patch(S)).

#### Definition

A proximity relation  $r: S \rightarrow S'$  is a **perfect map** if

$$a \prec' b \rightarrow \exists c \in r^{-}b \ (r^{-}a \subseteq \downarrow_{\prec} c).$$

#### Theorem

Let  $(S, 0, \lor, \land, \prec)$  be a strong quasi-proximity lattice. There exists a perfect map  $\varepsilon$ : SqPL(Patch(S))  $\rightarrow$  S such that for any perfect map  $r: S' \rightarrow S$  where S' is regular, there exists a unique perfect map  $\tilde{r}: S' \rightarrow$  SqPL(Patch(S)) such that  $S' \xrightarrow{\tilde{r}}$ SqPL(Patch(S)).