

Recent results in constructive reverse mathematics

Takako Nemoto

Tohoku University

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What is reverse mathematics?

Something often in usual mathematics

- From the assumption A , the conclusion B is derived.
(Weaken A as much as possible)
- Sometimes, the conclusion B also derive the assumption A
(A and B are equivalent)
- If we take a set comprehension axiom as A , then we can classify usual theorems in mathematics by set comprehension axioms.

Friedman-Simpson's reverse math

- Reverse mathematics with classical logic, using systems of second order arithmetic
- "The reverse mathematics" (?)

Language of 2nd order arithmetic and base systems

Language $L_2(\text{exp})$

- Constants $0, 1$
- Binary functions $+, \cdot, \text{exp}$
- Binary relations $<, \in$
- 1st order variables x, y, z, \dots
- 2nd order variables X, Y, Z, \dots
- 1st order equality $=$

The systems RCA_0^* and RCA_0

Basic arithmetic

Successor $n + 1 \neq 0, \quad n + 1 = m + 1 \rightarrow n = m,$

Addition $n + 0 = n, \quad n + (m + 1) = (n + m) + 1,$

Multiplication $n \cdot 0 = 0, \quad n \cdot (m + 1) = n \cdot m + n,$

Order $\neg m < 0, \quad m < n + 1 \leftrightarrow m \leq n,$

Exponentiation $\text{exp}(n, 0) = 1, \quad \text{exp}(n, m + 1) = \text{exp}(n, m) \cdot n.$

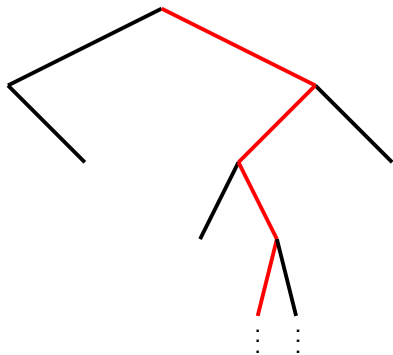
Σ_1^0 -IND $A(0) \wedge \forall n(A(n) \rightarrow A(n + 1)) \rightarrow \forall n A(n),$ for $A \in \Sigma_1^0.$

Δ_1^0 -CA $\forall n(A(n) \leftrightarrow B(n)) \rightarrow \exists X \forall n(A(n) \leftrightarrow n \in X),$
for $A \in \Sigma_1^0$ and $B \in \Pi_1^0.$

The famous base system RCA_0 can be defined by $\text{RCA}_0^* + \Sigma_1^0$ -IND.

Weak König's lemma

- A binary *tree* T is a subset of $\{0, 1\}^*$ closed under initial segments.
- A binary tree T is *infinite* if $\forall n \exists s \in T (|s| = n)$, where $|s|$ is the length of a finite tree s .
- A *path* of a binary tree T is a function α s.t. $\forall n (\bar{\alpha}n \in T)$, where $\bar{\alpha}n$ is a finite sequence $\langle \alpha(0), \dots, \alpha(n-1) \rangle$.
- *Weak König's Lemma* (WKL): “Every infinite binary tree has a path”



Some results from Friedman-Simpson reverse math

TFAE over RCA_0 ([8])

- Weak König's lemma: Every infinite binary tree has a path.
- Heine-Borel's covering theorem
- Every continuous function on $[0, 1]$ is uniformly continuous.
- Every continuous function on $[0, 1]$ has infimum.
- Every continuous function on $[0, 1]$ has a point attaining the infimum.
- Every continuous function on $[0, 1]$ is Riemann integrable.
- Gödel's completeness theorem
- Every countable ring contains a prime ideal.
- Brouwer's fixed point theorem
- Peano's existence theorem for solution of ODE.
- Separable Hahn-Banach theorem
- Π_1^0 axiom of choice

$WKL_0 = RCA_0 + \text{Weak König's lemma}$

Intuitionistic logic and constructive reverse math

Usual mathematics

Based on classical logic

Constructive mathematics

Based on intuitionistic logic

Constructive reverse mathematics

A mathematical theorem are characterized with a combination of

- choice principle (asserting the existence of a function)
- logical principles

which are necessary and sufficient to prove it.

Base theory EL_0^*

Language L_{EL}

- Constant 0
- Function symbols for all elementary functions S, f, \dots
- Application symbol AP
- Abstraction operator λ
- bdd. μ operator μ
- 1st order =
- 1st order variables x, y, z, \dots
- 2nd order variables $\alpha, \beta, \gamma, \dots$

System EL_0^*

Successor $\neg S0 = 0$

Defining equations for elementary functions $x+0 = x, x+Sy=S(x+y)\dots$

Σ_0^0 induction $A(0) \wedge \forall n(A(n) \rightarrow A(n+1)) = \forall nA(n)$, for $A \in \Sigma_0^0$

λ conversion $(\lambda x.t)s = t[x/s]$

Bdd. μ operator $\mu(t, \varphi, t') =$ “the least $k \leq t'$ s.t. $\varphi(k) = 0$ if exists, or t' ”

QF-AC⁰⁰ $\forall x \exists y A(x, y) \rightarrow \exists \alpha \forall x A(x, \alpha(x))$, for $A(x, y) \in \Pi_0^0$

EL_0 can be defined by $EL_0^* + \Sigma_1^0$ -IND

RCA₀ and EL₀

RCA₀

- Classical logic
- Set based language
- Allowing primitive recursion

EL₀

- Intuitionistic logic
- Function based language
 - ▶ It yields $A \vee \neg A$ for Σ_0^0 formulae
- Allowing primitive recursion

Conservation results ([7])

For any Π_2^0 sentence A in $L_2(\text{exp})$,

- $\text{RCA}_0^* \vdash A$ yields $\text{EL}_0^* \vdash A$
- $\text{RCA}_0 \vdash A$ yields $\text{EL}_0 \vdash A$

Characterizing WKL

Theorem (Essentially by [1])

The following are equivalent over EL_0^*

- 1 WKL
- 2 $\Pi_1^0\text{-AC}^\vee + \Sigma_1^0\text{-DML}$
 - ▶ $\Pi_1^0\text{-AC}^\vee$:
 $\forall x(A(x) \vee B(x)) \rightarrow \exists \alpha \forall x((\alpha(x) = 0 \rightarrow A(x)) \wedge (\alpha(x) \neq 0 \rightarrow B(x)))$
for $A, B \in \Pi_1^0$
 - ▶ $\Sigma_1^0\text{-DML}$: $\neg(A \wedge B) \rightarrow (\neg A \vee \neg B)$ for $A, B \in \Sigma_1^0$

De Morgan's law

- $\neg(A \vee B) \leftrightarrow (\neg A \wedge \neg B)$
 - ✓ $\neg(A \vee B) \rightarrow \neg A \wedge \neg B$
 - ✓ $\neg A \wedge \neg B \rightarrow \neg(A \vee B)$
- $\neg(A \wedge B) \leftrightarrow (\neg A \vee \neg B)$
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Some generalization

- $\forall x(\neg(\exists i < x)(A(i)) \leftrightarrow (\forall i < x)(\neg A(i)))$
 - ✓ $\forall x(\neg(\exists i < x)A(i) \rightarrow (\forall i < x)\neg A(i))$
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Schemata we consider

For a class Γ (Σ_k^0 or Π_k^0) of formulae, we consider the following schemata:

- Γ -DML: $\neg(A \wedge B) \rightarrow \neg A \vee \neg B$, for $A, B \in \Gamma$
- Γ -GDML: $\forall x(\neg(\forall i < x)A(i) \rightarrow (\exists i < x)\neg A(i))$ for $A(i) \in \Gamma$
- Γ -WGDML: $\forall x(\neg(\forall i < x)A(i) \rightarrow \neg\neg(\exists i < x)\neg A(i))$ for $A(i) \in \Gamma$

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Easy observation

Over EL_0^* , the following holds:

- 1 Γ -GDML yields Γ -DML
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Variation of WKL

- WKL: Every infinite binary tree has a path.
- WKL!!: Every infinite binary tree T which has at most one paths, i.e., if there are two paths then they are identical, has a path.
- dn-WKL: If T is an infinite binary tree, then $\neg\neg(T \text{ has a path})$

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Observation

WKL \Rightarrow WKL!! \Rightarrow dn-WKL ([6])

Something I know so far

Let $\Delta(\Gamma)$ be the smallest class containing Γ and closed under $\wedge, \vee, \rightarrow, \neg, \forall x < t, \exists x < t$

In the presence of an appropriate induction

- $EL_0^* + \Delta(\Sigma_k^0)\text{-IND} \vdash \Sigma_k^0\text{-DML} \Leftrightarrow \Sigma_k^0\text{-GDML}$
- $EL_0^* + \Delta(\Pi_k^0)\text{-IND} \vdash \Pi_k^0\text{-DML} \Leftrightarrow \Pi_k^0\text{-GDML}$
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- $EL_0^* + \Pi_k^0\text{-IND} \vdash \Sigma_k^0\text{-WGDML}.$

In the presence of WKL variants or some choice principles

- $EL_0^* + \text{WKL} \vdash \Sigma_1^0\text{-GDML}$
- $EL_0^* + \text{WKL}!! \vdash \Pi_1^0\text{-GDML}$
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Σ_k^0 and Π_k^0

- $EL_0^* + \Sigma_1^0\text{-DML} \vdash \Pi_1^0\text{-DML}$ ([4])
- $EL_0^* + \Sigma_1^0\text{-GDML} \vdash \Pi_1^0\text{-GDML}$ (Kawai)
- $EL_0^* + \Sigma_{n+1}^0\text{-GDML} + \Sigma_n^0\text{-DNE} + \Sigma_n^0\text{-IND} \vdash \Pi_{n+1}^0\text{-GDML}$

Facts

- $EL_0 \not\vdash \Pi_1^0\text{-IND}$ ([2]), $EL_0^* + \Pi_1^0\text{-IND} \not\vdash \Sigma_1^0\text{-IND}$ ([9]).
- $EL_0^* + \Pi_1^0\text{-IND}$ proves $\neg\neg\Sigma_1^0\text{-IND}$ ([9]), i.e., for each $A(x) \in \Sigma_1^0$,
$$\neg\neg A(0) \wedge \forall x(\neg\neg A(x) \rightarrow \neg\neg A(x+1)) \rightarrow \forall x\neg\neg A(x),$$
- EL_0^* proves, for each $A(i, j) \in \Sigma_1^0$,
$$\forall x((\forall i)\exists j A(i, j) \leftrightarrow \exists y(\forall i < x)(\forall j < y)A(i, j))$$
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Theorem

$EL_0^* + \Pi_1^0\text{-IND}$ proves $\Sigma_1^0\text{-WGDML}$.

(Proof)
$$\begin{aligned} & \neg(\forall i < x)\exists j B(i, j) \rightarrow \neg\neg(\exists i < x)\neg\exists j B(i, j) \\ \iff & \neg(\exists i < x)\neg\exists j B(i, j) \rightarrow \neg\neg(\forall i < x)\exists j B(i, j) \\ \iff & (\forall i < x)\neg\neg\exists j B(i, j) \rightarrow \neg\neg(\forall i < x)\exists j B(i, j) \\ \iff & (\forall i < x)\neg\neg\exists j B(i, j) \rightarrow \neg\neg\exists y(\forall i < x)(\exists j < y)B(i, j) \end{aligned}$$

$$\frac{\Pi_1^0\text{-IND}}{\Pi_1^0\text{-IND yields this}}$$

Induction, DML and GDML

Theorem

$$EL_0^* + \Delta(\Sigma_k^0)\text{-IND} + \Sigma_k^0\text{-DML} \vdash \Sigma_k^0\text{-GDML}$$

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(Idea for the proof)

Assume $\neg(\forall i < x)\exists j A(i, j)$ for $A(i, j) \in \Pi_{k-1}^0$.

- $\neg(\forall i < x)\exists j A(i, j)$ implies $\neg(\exists j A(0, j) \wedge \forall i(0 < i < x \rightarrow \exists j A(i, j)))$

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- If the former is the case, repeat this process.
- At some $i < x$, we must have $\neg\exists j A(i, j)$.

Induction, DML and GDML

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- If the former is the case, repeat this process.
- At some $i < x$, we must have $\neg\exists j A(i, j)$.

Hou to repeat the process? $\Delta(\Sigma_k^0)$ -IND!

Bounded comprehension: Using induction, take

$u = \langle u_0, \dots, u_{x-1} \rangle \in \{0, 1, 2\}^*$ s.t.

- $u_k = 0 \rightarrow \neg\exists y A(k, y)$,
- $u_k = 1 \rightarrow \neg\forall i(k < i < x \rightarrow \exists y A(i, y))$, and
- $u_k = 2 \rightarrow (\exists i < k)u_i = 0$

WKL and Σ_1^0 -DML (LLPO)

Fact ([1])

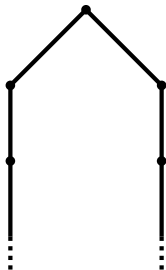
$EL_0^* + WKL \vdash \Sigma_1^0\text{-DML}$

(Idea for the proof)

Assume $\neg(\exists x A(x) \wedge \exists x B(x))$, where $A(x), B(x) \in \Delta_0^0$.

Consider the following tree T :

$$T = \{u \in \{0, 1\}^* : (u_0 = 0 \rightarrow \neg A(|u|)) \wedge (u_0 = 1 \rightarrow \neg B(|u|))\}$$



Since T must have a branch of any length, T has a path α .
 $\alpha(0) = 0$ implies $\neg\exists x A(x)$ and $\alpha(0) = 1$ implies $\neg\exists x B(x)$.

WKL and GDML

Theorem

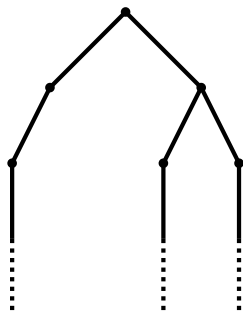
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(Idea for the proof)

Assume $\neg(\forall i < x)\exists j A(i, j)$ for $A(i, j) \in \Delta_0^0$. Consider the following T :

$$T = \{1^i 0^j : i < x, \neg A(i, j)\}$$

The case of $x = 3$



Since T must have a branch of any length, T has a path α .

Find the least $i < x$ s.t. $\alpha(i) = 1$. Then $\neg\exists j A(i, j)$.

WKL and GDML

Theorem

$EL_0^* + WKL \vdash \Sigma_1^0\text{-GDML}$

(Idea for the proof)

- $\neg(\forall i < x)\exists j A(i, j)$ implies $\neg(\exists j A(0, j) \wedge \underline{\forall i(0 < i < x \rightarrow \exists j A(i, j))})$
- Underlined part is equivalent to some Σ_1^0 formula.
- Hence, $\Sigma_1^0\text{-DML}$, we have $\neg\exists j A(0, j)$ or $\neg\forall i(0 < i < x \rightarrow \exists j A(i, j))$
- If the former is the case, repeat this process.
- At some $i < x$, we must have $\neg\exists j A(i, j)$.

How to repeat the process? Axiom choice!

WKL and GDML

Theorem

$EL_0^* + WKL \vdash \Sigma_1^0\text{-GDML}$

(Idea for the proof)

- $\neg(\forall i < x)\exists j A(i, j)$ implies $\neg(\exists j A(0, j) \wedge \underline{\forall i(0 < i < x \rightarrow \exists j A(i, j))})$
- Underlined part is equivalent to some Σ_1^0 formula.
- Hence, $\Sigma_1^0\text{-DML}$, we have $\neg\exists j A(0, j)$ or $\neg\forall i(0 < i < x \rightarrow \exists j A(i, j))$
- If the former is the case, repeat this process.
- At some $i < x$, we must have $\neg\exists j A(i, j)$.

How to repeat the process? Axiom choice!

WKL implies the following choice principle:

- $\Pi_1^0\text{-AC}^\forall$:
$$\forall x(A(x) \vee B(x)) \rightarrow \exists \alpha \forall x((\alpha(x) = 0 \rightarrow A(x)) \wedge (\alpha(x) \neq 0 \rightarrow B(x)))$$

for $A, B \in \Pi_1^0$

By this choice principle, we can take the right direction at once.

Something around

König's lemma (KL)

Every *finitely branching* infinite tree T , i.e.,
 $\forall u \in T \exists i \forall j (u * \langle j \rangle \in T \rightarrow j \leq i)$, has a path.

(Weak) Fan Theorem ((W)FT)

Every finitely branching (binary) tree T such that $\forall \alpha \exists n (\bar{\alpha}n \notin T)$ is bounded, i.e., $\exists m \forall u \in T (|u| < m)$.

Some results around KL and FT

- $EL_0^* + WKL \vdash WFT$ (Essentially by Ishihara [5])
- $EL_0^* + FT \vdash \Sigma_1^0\text{-IND}$
- $EL_0^* + KL + \Sigma_1^0\text{-LEM} \vdash \Sigma_1^0\text{-IND}$ ([7])
- $EL_0^* + \Sigma_1^0\text{-IND} + \Pi_1^0\text{-AC} \not\vdash WKL, KL, \Pi_1^0\text{-IND}$ (cf. [7] and [8])
- $EL_0^* + \Sigma_1^0\text{-IND} + \Pi_1^0\text{-AC} + \Sigma_1^0\text{-LEM} \vdash WKL, KL, \Pi_1^0\text{-IND}$ ([7]?)

Some observations

- Constructive reverse mathematics aims to characterize mathematical principles with choice principles (existence of functions), logical principles and sometime induction principles.
- Choice, logical, and induction principles are independent at a glance.
 - ▶ Realizability model: Full of choice principle, but weak induction, no logical principle
 - ▶ Total recursive function model: Full induction, but weak choice, no logical principle
 - ▶ Classical non-standard model: Classical logic, but weak choice, weak induction
- De Morgan's law (DML) is considered as a logical principle.
- But DML is generalized by induction or choice principle.
- How choice, logical and induction principles affect each other?

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